

**Gates & Cooper** LLP

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045

**FAX TRANSMISSION TO USPTO**

TO: Commissioner for Patents  
Attn: Ms. Joyce  
Patent Examining Corps  
Facsimile Center  
Alexandria, VA 22313-1450

FROM: George H. Gates  
OUR REF.: G&C 118.12-US-WO  
TELEPHONE: (310) 642-4146

Total pages, including cover letter: 21

PTO FAX NUMBER: 571-270-9762

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

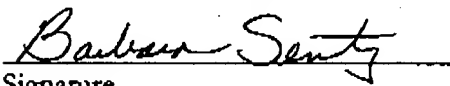
Title of Document Transmitted:	REQUEST UNDER 37 C.F.R. 3.81(B), COPIES OF 2 RECORDED ASSIGNMENTS, REQUEST FOR CERTIFICATE OF CORRECTION AND CERTIFICATE OF CORRECTION.
Applicant:	Eui-Sung Choi et al.
Serial No.:	09/830,691
Filed:	April 26, 2001
Group Art Unit:	
Title:	VECTOR FOR THE TRANSFORMATION OF PHAFFIA RHODOZYMA AND PROCESS OF TRANSFORMATION THEREBY
Our Ref. No.:	G&C 118.12-US-WO

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP.

By: 

Name: George H. Gates  
Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

  
Signature

July 18, 2007  
Date

GHG/bjs

G&C 118.12-US-WO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentee: Eui-Sung Choi et al.  
Patent No.: 6,987,026  
Issued: January 17, 2006 Docket: G&C 118.12-US-WO  
Title: VECTOR FOR THE TRANSFORMATION OF PHAFFIA RHODOZYMA AND PROCESS OF TRANSFORMATION THEREBY

**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited via facsimile transmission with the U.S. Patent and Trademark Office on July 18, 2007.

By: 

Name: George H. Gates

Attn: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22213-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Request Under 37 C.F.R. §3.81(b) with copies of Recorded Assignment (Assignors: inventors to Assignees: Korea Institute of Science and Technology and Haitai Confectionery Co., Ltd., Recordation Date: 4/26/2001, Reel/Frame: 011879/0967); and Recorded Assignment (Assignor: Haitai Confectionery Co., Ltd. to Assignee: Korea Institute of Science and Technology, Recordation Date: 2/8/2002, Reel/Frame: 012589/0885).
- ☒ Charge the Deposit Account in the amount of \$130.00 for the Petition fee.
- ☒ Request for Certificate of Correction and Certificate of Correction (in duplicate).

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

**GATES & COOPER LLP**  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By: 

Name: George H. Gates

Reg. No.: 33,500

GHG/bjs

(PTO TRANSMITTAL - CERTIFICATE OF CORRECTION)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 6,987,026 Docket: G&C 118.12-US-WO  
Issue Date: January 17, 2006 Patentee: Eui-Sung Choi et al.  
Title: VECTOR FOR THE TRANSFORMATION OF PHAFFIA  
RHODOZYMA AND PROCESS OF TRANSFORMATION THEREBY

## CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited via facsimile transmission with the U.S. Patent and Trademark Office on July 18, 2007.

By:   
Name: George H. Gates

REQUEST UNDER 37 C.F.R. §3.81(b)

MAIL STOP PETITIONS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §3.81(b), the below-signed Patentees' attorney requests that the above-identified patent be corrected to state the name of the proper assignee.

Patentees' attorney previously filed a Request for Certificate of Correction on February 13, 2006 to remove one of the original Assignees, Haitai Confectionary Co., Ltd., from the front page of the issued patent, because this original Assignee had relinquished its rights in the patent. (On the PTOL-85 form submitted October 12, 2005, Haitai Confectionary Co., Ltd., was inadvertently listed as an assignee, even though they no longer held any rights in the application.) On July 2, 2007, Patentees' attorney received a communication from the U.S. Patent and Trademark Office (PTO) indicating that the Request for Certificate of Correction had been denied, and explaining that the assignee had to be corrected under 37 C.F.R. §3.81.

Consequently, Patentees' attorney submits this request for the patent to be corrected in accordance with 37 C.F.R. §3.81(b), after payment of the issue fee, as follows:

- (1) Patentees' attorney hereby states that a first assignment from the inventors to the Korea Institute for Science and Technology and Haitai Confectionary Co., Ltd., as joint assignees, was submitted for recordation as set forth in 37 C.F.R. §3.11 on 4/26/2001 before issuance of the patent on 1/17/2006. Patentees' attorney also

hereby states that a second assignment from Haitai Confectionary Co., Ltd., to the Korea Institute for Science and Technology was submitted for recordation as set forth in 37 C.F.R. §3.11 on 2/08/2002 before issuance of the patent on 1/17/2006. Copies of the Notice of Recordation of Assignment Documents are attached, reflecting the reel and frame number where the assignments are recorded and reflecting proof of the date the assignments were submitted for recordation.

- (2) Patentees' attorney hereby submits herewith a request for a certificate of correction under 37 C.F.R. §1.323 (the \$100.00 fee as set forth in 37 C.F.R. §1.20(a) was previously submitted and thus no additional fee is required) and the \$130.00 processing fee for this request as set forth in 37 C.F.R. §1.17(i).

Patentees' attorney requests that the file be forwarded to the Certificate of Correction Branch, for issuance of a Certificate of Correction, if this request is granted.

Please charge any fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP.

Respectfully submitted,

Eui-Sung Choi et al.

By their attorneys,

GATES & COOPER LLP

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

Date: July 18, 2007

By: 

Name: George H. Gates  
Reg. No.: 33,500

GHG/bjs

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

AUGUST 21, 2001

PTAS

GATES & COOPER LLP  
KAREN S. CANADY  
6701 CENTER DRIVE WEST  
SUITE 1050  
LOS ANGELES, CA 90045

\*101747026\*

**RECEIVED**

AUG 27 2001

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT****Gates & Cooper LLP**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/26/2001

REEL/FRAME: 011879/0967  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHOI, EUI-SUNG

DOC DATE: 04/10/2001

ASSIGNOR:

KIRHEE, SANG-KI

DOC DATE: 04/10/2001

ASSIGNOR:

SOHN, JUNG-HOON

DOC DATE: 04/10/2001

ASSIGNOR:

PARK, SOO-DONG

DOC DATE: 04/10/2001

ASSIGNOR:

LEE, YOON HYOUNG

DOC DATE: 04/10/2001

ASSIGNOR:

LEE, SEUNG JAE

DOC DATE: 04/10/2001

ASSIGNOR:

JANG, JAE KWEON

DOC DATE: 04/10/2001

011879/0967 PAGE 2

ASSIGNOR:

CHOI, SEOK KEUN

DOC DATE: 04/10/2001

ASSIGNOR:

SON, YOUNG ROK

DOC DATE: 04/10/2001

ASSIGNEE:

KOREA INSTITUTE OF SCIENCE AND  
TECHNOLOGY  
39-1 HAWOLKOK-DONG, SUNGBUK-KU  
136-130 SEOUL, REPUBLIC OF KOREA

ASSIGNEE:

HAITAI CONFECTIONERY CO., LTD.  
108-2 YANGPYUNG-DONG-5-GA,  
YOUNGDEUNGPO-KU  
150-105 SEOUL, REPUBLIC OF KOREA

SERIAL NUMBER: 09830691

PATENT NUMBER:

FILING DATE:

ISSUE DATE:

LAZENA MARTIN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

06-13-2001

07/820671  
J008 Rec'd PCT/PTO 26 APR 2001  
U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

G&amp;C 118.12-US-WO FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

Docket: 118.12-US-WO

To the Commissioner of Patents and Trademarks: Please recon



101747026

## 1. Name of conveying party(ies):

- 04/26/01
- (1) EUI-SUNG CHOI
  - (2) SANG-KI RHEE
  - (3) JUNG-HOON SOHN
  - (4) SOO-DONG PARK
  - (5) YOON HYOUNG LEE
  - (6) SEUNG JAE LEE
  - (7) JAE KWEON JANG
  - (8) SEOK KEUN CHOI
  - (9) YOUNG ROK SON

## 2. Name and address of receiving party(ies):

- (1) KOREA INSTITUTE OF SCIENCE AND TECHNOLOGY  
39-1 Hawolmok-dong, Sungbuk-ku  
136-130 Seoul  
Republic of Korea
- (2) HAITAI CONFECTIONERY CO., LTD.  
108-2 Yangpyung-dong-5-ga, Youngdeungpo-ku  
150-105 Seoul  
Republic of Korea

Additional name(s) of conveying party(ies) attached? ☒ NoAdditional name(s) & address(es) attached? ☒ Yes

## 3. Nature of conveyance:

☒ Assignment

Execution Date: (1) April 10, 2001, (2) April 10, 2001, (3) April 10, 2001, (4) April 10, 2001, (5) April 10, 2001, (6) April 10, 2001, (7) April 10, 2001, (8) April 10, 2001, (9) April 10, 2001

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 10, 2001

## A. Patent Application No.(s)

## B. Patent No.(s)

Additional numbers attached? ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Karen S. Canady  
Address: GATES & COOPER LLP  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045

## 6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40.00

☒ Fees are to be charged to a Credit Card.  
Form PTO-2038 is enclosed.

8. Please charge any additional fees or credit any overpayments to Deposit Account No. 50-0494.

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## 9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karen S. Canady  
Name of Person Signing

Signature

April 26, 2001

Date

Total number of pages including cover sheet, attachments, and document: Four

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Mail documents to be recorded with required cover sheet information to:

05/02/2001 UEDUJIE 00000170 09830691

02 FC:581

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Director - United States Patent and Trademark Office  
Box Assignment  
Washington, D.C. 20231

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## ASSIGNMENT

## INSTRUCTIONS

Inventor(s) name:

WHEREAS, Eui-Sung Choi, Sang-Ki Rhee, Jung-Hoon Sohn, Soo-Dong Park, Yoon-Hyoung Lee, Seung-Jae Lee, Jae-Kweon Jang, Seok-Keun Choi, and Young-Rok Son (hereinafter "Assignor(s)");

Title and International  
Application Number and  
Filing Date

made certain new and useful inventions and improvements which are  
entitled VECTOR FOR THE TRANSFORMATION OF PHAFFIA  
RHODOZYMA AND PROCESS OF TRANSFORMATION  
THEREBY

and which are disclosed in International Application Number  
PCT/KR99/00265, filed on May 29, 1999;

Name of Corporation

AND WHEREAS,

State or Country of  
Incorporation

A) KOREA INSTITUTE OF SCIENCE AND TECHNOLOGY

Address

39-1 Hawolkok-dong, Sungbuk-ku  
136-130 Seoul  
Republic of Korea

B) HAITAI CONFECTIONERY CO., LTD.

108-2 Yangpyung-dong-5-ga,  
Youngdeungpo-ku  
150-105 Seoul  
Republic of Korea

are corporations organized and existing under and by virtue of the laws of  
the Republic of Korea, (hereinafter "Assignee(s)") are desirous of jointly  
acquiring the entire right, title and interest in and to said inventions,  
improvements and application and in and to the Letters Patent to be  
obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that  
for and in consideration of the sum of One Dollar and other good and  
valuable considerations, the receipt and sufficiency whereof is hereby  
acknowledged, Assignor(s) have sold, assigned, and transferred, and by  
these presents do sell, assign and transfer unto said Assignee(s), its  
successors or assigns, the entire right, title and interest for all countries in  
and to all inventions and improvements disclosed in the aforesaid  
application, and in and to the application, all divisions, continuations, or  
renewals thereof, all Letters Patent which may be granted therefrom, and  
all reissues or extensions of such patents, and in and to any and all  
applications which have been or shall be filed in any foreign countries for  
Letters Patent on the inventions and improvements, including an  
assignment of all rights under the provisions of the International  
Convention, and all Letters Patent of foreign countries which may be

(G&amp;C 118.12-US-WO)

PCT-U.S. National Stage



-2-

granted therefrom; and do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee(s) as the Assignee(s) of the entire right, title and interest in and to the same, for the use of the Assignee(s), its successors and assigns.

AND, for the consideration aforesaid, Assignor(s) do hereby agree that they and their executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee(s), its successors and representatives all facts known to Assignor(s) relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee(s), its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignor(s) covenant and agree with said Assignee(s), its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignor(s) and that full right to convey the same as herein expressed is possessed by Assignor(s). An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Inventor(s) must sign and date  
Note: No legalization or  
other witness required

Date: April 10, 2001 Signature: Choi Eui-Sung  
Name: Eui-Sung Choi

Date: April 10, 2001 Signature: Rhee Sang-Ki  
Name: Sang-Ki Rhee

Date: April 10, 2001 Signature: Sohn Jung-Hoon  
Name: Jung-Hoon Sohn

Date: April 10, 2001 Signature: Park Soo-Dong  
Name: Soo-Dong Park

Date: April 10, 2001 Signature: Lee Yoon Hyoung  
Name: Yoon Hyoung Lee

Date: April 10, 2001 Signature: Lee Seung Jae  
Name: Seung Jae Lee

(U&amp;C 113.12-US-W)

PCT-U.S. National Stage

-3-

Date: April 10, 2001 Signature: JANG Jae-Kweon  
Name: Jac Kweon Jang

Date: April 10, 2001 Signature: Choi Seok-Keun  
Name: Seok Keun Choi

Date: April 10, 2001 Signature: Son Young Rok  
Name: Young Rok Son

(C&amp;C 118.12-US-WO)

PCT-U.S. National Stage



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APRIL 16, 2002

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Washington, DC 20231  
www.uspto.gov

GATES & COOPER LLP  
KAREN S. CANADY  
6701 CENTER DRIVE WEST  
SUITE 1050  
LOS ANGELES, CA 90045



\*101991850A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/08/2002

REEL/FRAME: 012589/0885  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HAITAI CONFECTIONERY CO., LTD.

DOC DATE: 01/12/2002

ASSIGNEE:

KOREA INSTITUTE OF SCIENCE AND  
TECHNOLOGY  
39-1 HAWOLKOK-DONG, SUNGBUK-KU  
136-130 SEOUL  
, REPUBLIC OF KOREA

SERIAL NUMBER: 09830691  
PATENT NUMBER:

FILING DATE: 04/26/2001  
ISSUE DATE:

VIOLET MCCOY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

118.12-US-WO FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

02-25-2002



101991850

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Docket-118.12-US-WO

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

HAITAI CONFECTIONERY CO., LTD.

2-8-02

2. Name and address of receiving party(ies):

KOREA INSTITUTE OF SCIENCE AND TECHNOLOGY  
39-1 Hawolkok-dong, Sungbuk-ku  
136-130 Seoul  
Republic of KoreaAdditional name(s) of conveying party(ies) attached? ☒ NoAdditional name(s) & address(es) attached? ☒ No

3. Nature of conveyance:

☒ AssignmentExecution Date: January 12, 2002

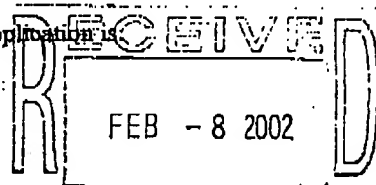
4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

09/830,691 filed May 29, 1999

B. Patent No.(s)

Additional numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Karen S. Canady  
Address: GATES & COOPER LLP  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40

☒ Assignment recordation fee is to be charged to a Credit Card. Form PTO-2038 Credit Card Payment Form is enclosed.

8. Please charge any additional fees or credit any overpayments to Deposit Account No. 50-0494.

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karen S. Canady

Name of Person Signing

Signature

January 23, 2002

Date

Total number of pages including cover sheet, attachments, and documents: Three

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Director - United States Patent and Trademark Office  
Box Assignment  
Washington, D.C. 20231

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02/25/2002 LIXELLER 00000004 09830691

01 FEB 2002

40.00 00

## ASSIGNMENT

WHEREAS, HAITAI CONFECTIONERY CO., LTD. (hereinafter Assignor ), is an owner of the rights to certain new and useful inventions and improvements which are entitled VECTOR FOR THE TRANSFORMATION OF PHAFFIA RHODOZYMA AND PROCESS OF TRANSFORMATION THEREBY and which is disclosed in U.S. patent application Serial No. 09/830,691 filed May 29, 1999;

AND WHEREAS, KOREA INSTITUTE OF SCIENCE AND TECHNOLOGY, having its administrative offices located at 39-1 Hawolkok-dong, Sungbuk-ku, 136-130 Seoul, Republic of Korea (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for sufficient, good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, Assignor(s) have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and do hereby authorize and request the Commissioner for Patents to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, Assignor(s) do hereby agree that they and their executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to Assignor(s) relating to said improvements and the history thereof, and will generally do those things which are reasonably necessary to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions,

applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignors covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignors and that full right to convey the same as herein expressed is possessed by Assignors.

By: HAITAI CONFECTIONERY CO., LTD.

Date: January 12, 2002

Signature: Song, Ki-Chool

Name: SONG, Ki-Chool

Title: Representative

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eui-Sung Choi et al. Int'l Application No.: PCT/KR99/00265  
Serial No.: 09/830,691 Int'l Filing Date: May 29, 1999  
Docket: G&C 118.12-US-WO Group Art Unit: 1651  
Title: VECTOR FOR THE TRANSFORMATION OF PHAFFIA RHODOZYMA AND PROCESS OF TRANSFORMATION THEREBY

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Director - United States Patent and Trademark Office, Washington, D.C. 20231 on January 23, 2002.

By:   
Name: Karen S. Canady

**BOX ASSIGNMENT**

Director - United States Patent and Trademark Office  
Washington, D.C. 20231

Dear Sir:


We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Assignment of the invention to KOREA INSTITUTE OF SCIENCE AND TECHNOLOGY and a corresponding Recordation Form Cover Sheet.
- ☒ PTO-2038 Credit Card Payment Form for the amount of \$40.00 for the Assignment Recordation Fee is enclosed herewith.
- ☒ Return postcard.

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

**GATES & COOPER LLP**  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By:   
Name: Karen S. Canady  
Reg. No.: 39,927  
KSC/dr

(PTO TRANSMITTAL - GENERAL)

(G&C 118.12-US-WO)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 6,987,026 Docket: G&C 118.12-US-WO  
Issue Date: January 17, 2006 Patentee: Eui-Sung Choi et al.  
Title: VECTOR FOR THE TRANSFORMATION OF PHAFFIA  
RHODOZYMA AND PROCESS OF TRANSFORMATION THEREBY

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited via facsimile transmission with the U.S. Patent and Trademark Office on July 18, 2007.

By: 

Name: George H. Gates

REQUEST FOR CERTIFICATE OF CORRECTION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

It is requested that a Certificate of Correction be issued correcting printing errors appearing in the above-identified United States patent. Two copies of the text of the Certificate in the suggested form are enclosed.

☒ The error listed is due to Applicants' mistake. However, the \$100.00 fee as set forth in 37 C.F.R. §1.20(a) was previously submitted with a Request for Certificate of Correction on February 13, 2006, and thus no additional fee is required.

☐ As none of the errors listed is due to Applicant's mistake, no fee is necessary in connection with this Certificate.

G&amp;C 118.12-US-WO



Issuance of the Certificate of Correction would neither expand nor contract the scope of the claims, and re-examination is not required.

Please charge any additional fees or credit any overpayment to Deposit Account  
No. 50-0494 of Gates & Cooper LLP.

Respectfully submitted,

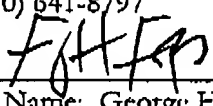
Eui-Sung Choi et al.  
By their attorneys,

GATES & COOPER LLP

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

Date: July 18, 2007

By: \_\_\_\_\_

  
Name: George H. Gates  
Reg. No.: 33,500

GHG/bjs

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DETACH HERE BEFORE MAILING TWO COPIES OF THE CERTIFICATE TO THE PATENT OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION

PATENT NO. : 6,987,026

PAGE 1 of 1

DATED : January 17, 2006

INVENTOR(S) : Choi et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page.

Item [73], Assignees:

Lines 2 and 3, please delete "Haitai Confectionery Co., Ltd., Seoul (KR)".

## MAILING ADDRESS OF SENDER:

Gates & Cooper LLP  
Attn: George H. Gates  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045

PATENT NO. 6,987,026

Docket No. 118.12-US-WO

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